100

JC14 Rec'd PCT/PTO

1 SEP 2001

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Attorney Docket No.: 0206

1000310UST CEIVE 1000310UST CEIVER 1600

O1PE SEP 17 2001

Washington, D.C. 20231

Assistant Commissioner for Patents

09/14/01

TOWNSEND and TOWNSEND and CREW LLP

By: Olra apebelo

Debra Ann DeBello

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Martin T. Baker, et al.

Application No.: 09/831,552

Filed: May 11, 2001

For: METHOD AND APPARATUS FOR

LIPOSOME PRODUCTION

Examiner:

Unassigned

Art Unit:

Unassigned

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of the references can be found in related Application No. 09/439,619, filed November 12, 1999 (Attorney Docket No. 020681-000300US). It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and

Martin T. Baker, et ar. Application No.: 09/831,552

Page 2

no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Joseph R. Snyder Reg. No. 39,381

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834

Tel: 925 472-5000 Fax: 925-472-8895

JS:dad

SF 1267708 v1